



Welcome to the National Racing Licensing Program

The National Racing Compact

P O Box 184 - New Kent, VA 23124

1 (877) 457-2538 - Fax 1 (804) 966-7422

Finally, after 40 years of trying, there is a nationally recognized racing license for owners, trainers, jockeys and drivers. No longer is it necessary that you fill out a separate application, be fingerprinted and pose for a photograph every time your horse races in another jurisdiction. A National Racing Compact has been created by jurisdictions across the nation to handle the licensing process. The Compact, a multistate intergovernmental entity created by legislation, has been recognized and authorized by the FBI to handle criminal history reports -- a national clearinghouse, if you will.

Simplified and convenient, every effort has been made to eliminate the frustrations of licensing that we have all experienced in the past. This new process is designed to assist horsemen in:

- reviewing the criteria for eligibility
- completing the application and identifying the states participating
- paying license fees
- obtaining fingerprints and photographs

The license is a three-year license on a calendar year basis. You still are required to where you participate in where you participate in racing their separate license fee, and there is a non-refundable application fee \$150 payable to the Compact.

Just follow these easy steps:

- Step 1** Read the licensing criteria and acknowledge that you qualify.
- Step 2** Complete the National Racing License application. Select the jurisdictions in which you anticipate racing. You must sign the application and pay with a check or credit card. Make your check payable to National Racing Compact. You may call the national Racing Compact or participating jurisdictions to inquire about any updates.
- Step 3** Get fingerprinted at a racetrack or at your local police or sheriff's office (using an RCI designated fingerprint card). Have a facial (digital or passport) photo taken and submit both to the National Racing Compact.

WHERE TO MAIL FORMS: The completed application, fingerprint card, photo and payment for the application fee and jurisdiction fees must be mailed to the National Racing Compact. Refer to the available fee schedule to determine the total amount due.

For RCI fingerprint cards, further information or questions, please contact the National Racing Compact or participating jurisdictions.

Licensing Criteria

The National Racing Compact has established the following criteria to determine eligibility for the National Racing License. The standards are comparable to the strictest requirements of all participating states. The criteria are intended to be clear and objective. The applicant should be able to read the criteria and easily decide whether he or she qualifies for a national racing license.

General Licensing Standards

The Compact will not complete the processing of an application for a national racing license in any category of participants in live racing if it finds that issuance of a license to the applicant would not be in the best interests of, or would adversely affect the honesty and integrity of, the Compact, the national racing license, the states that are members of the Compact, the racing industry, or the sport of racing, or that the applicant:

1. Criminal Record: Has in any jurisdiction at any time pleaded guilty or nolo contendere to, been found guilty or been convicted of, forfeited bail or been fined for, or currently has a criminal indictment or complaint pending for:
 - a) any crime involving race-fixing or any other effort to pre-determine the outcome of a race, bookmaking, touting, pool-selling, bet solicitation, or other similar conduct;
 - b) any other felony; or
 - c) more than two (2) misdemeanors, with the exception of traffic offenses, for which the date of conviction or other disposition of the most recent misdemeanor was less than five (5) years preceding the date of submission of his or her application to the Compact;
2. Racing-Related Sanctions:
 - a) Has at any time been found by any jurisdiction or by the Compact to have violated any rule or regulation pertaining to race-fixing or any other effort to pre-determine the outcome of a race, bookmaking, touting, pool-selling, bet solicitation or other similar conduct;
 - b) Has at any time applied for and been denied a racing-related license by any jurisdiction or by the Compact, unless that license later was granted by that jurisdiction or by the Compact;
 - c) Is not currently in good standing with the Compact or with the agency or other entity regulating racing in any jurisdiction for any reason, including the current suspension or revocation of any racing-related license by the Compact or by any jurisdiction, unless the applicant currently is eligible to reapply for that license with the Compact or in that jurisdiction;
 - d) Has at any time been the subject of any racing-related suspension or revocation by any jurisdiction or by the Compact for a continuous period of one (1) year or more, or of a fine of \$5,000 or more; or
 - e) Has, within the three (3) years preceding the date of submission of his or her application to the Compact, been the subject of cumulative racing-related racing suspensions or revocations by any jurisdiction or by the Compact totaling 60 days or more, or cumulative racing-related fines or penalties totaling \$2,000 or more, except that violations that involve a suspension or revocation of a license for 10 days or less and/or a fine of \$250 or less shall not count towards this cumulative total;

3. Character and/or Integrity Concerns:

- a) Has consorted with, currently consorts with, or has an ownership, partnership or other commercial interest with or business ties to any person who is or has been involved with, or has in any jurisdiction at any time pleaded guilty or nolo contendere to, been found guilty or been convicted of, forfeited bail or been fined for, or currently has a criminal indictment or complaint pending for, illegal gambling, extortion, racketeering involving organized crime, fraud, misrepresentation, race-fixing or any other effort to pre-determine the outcome of a race, bookmaking, touting, pool-selling, bet solicitation, or other similar conduct, or its equivalent, in any jurisdiction;
- b) Has engaged or is engaging in conduct that involves cruelty to animals or that has resulted in the applicant having a bad reputation for honesty, truth or veracity;
- c) Is using, or within the five (5) years preceding the date of submission of his or her application to the Compact has used, any illegal drug, narcotic or controlled substance, or is engaging, or within the five (5) years preceding the date of submission of his or her application to the Compact has engaged, in drug, narcotic or controlled substance abuse or the excessive use of alcohol;
- d) Has knowingly made a false statement of a material fact in his or her application to the Compact, or has knowingly failed to disclose any information requested by the Compact; or
- e) Is not otherwise of good moral character;

4. Certain Debts: Has defaulted in the payment of any financial obligation, including the payment of taxes, due to any jurisdiction, has defaulted on the payment of any racing-related financial obligation, is four (4) or more months in arrears in any child support that is ordered or approved by a court in any jurisdiction, or otherwise is determined by the Compact to be financially irresponsible;

5. Compact Rules and Regulations: Has knowingly failed to comply with the provisions of the rules and regulations of the Compact; or

6. Other. Has engaged in any other conduct that would result in denial of a license or refusal to renew a license under the licensing standards or criteria of any jurisdiction that is a member of the Compact; provided, however, that the Compact shall not consider any such standards or criteria during its processing of the applicant's application unless, before the license is issued or renewed by the Compact, the jurisdiction notifies the Compact of the factual and legal bases for its conclusion that it would deny the applicant's application for a license or would refuse to renew the applicant's license in that jurisdiction.

Licensing Standards Specific to Horse Owners

The Compact shall not complete the processing of an application for a national racing license as a horse owner unless it finds that the applicant has met the general licensing standards set forth above and:

- a) Is at least eighteen (18) years of age; and
- b) Is qualified to perform the duties and functions associated with an owner's license, including that the applicant:
 1. Owns or has under lease a horse eligible to race in one or more jurisdictions; and
 2. Has presented satisfactory evidence that he or she currently is covered by workers' compensation insurance if workers' compensation insurance is required for owners by his or her jurisdiction of residence, or the equivalent self-insurance if self-insurance is permitted by that jurisdiction, in the amount required by that jurisdiction.

Licensing Standards Specific to Horse Trainers

The Compact shall not complete the processing of an application for a national racing license as a horse trainer unless it finds that the applicant has met the general licensing standards set forth above and:

- a) Is at least eighteen (18) years of age; and
- b) Is qualified to perform the duties and functions associated with a trainer's license, including that the applicant:
 1. Has presented satisfactory evidence that he or she currently is covered by workers' compensation insurance (i) in the highest amount, if any, required for horse trainers by any of the Compact states in which the applicant has indicated on the application that he or she plans to race, or (ii) if the applicant has not indicated on the application in which of the Compact states he or she plans to race, then in the highest amount, if any, required for horse trainers by any of the Compact states, or (iii) self-insurance in the required amount, if self-insurance is permitted by all of the states included in (i) or (ii), as applicable;
 2. Has demonstrated his or her experience and competence by providing satisfactory evidence that he or she was licensed as a trainer for the preceding three years in a racing jurisdiction in the United States and that, during those three years, he or she participated as a trainer in at least 100 races or, in the judgment of the Compact, the equivalent thereof, provided, that for the purposes of this subparagraph, a maximum of one of the required three years of experience may be as an assistant trainer; and
- c) For a harness trainer, is currently licensed by and is the holder of a General (G) trainer's license issued by the United States Trotting Association.

Licensing Standards Specific to Jockeys

The Compact shall not complete the processing of an application for a national racing license as a jockey unless it finds that the applicant has met the general licensing standards set forth in above and:

- a) Is at least eighteen (18) years of age; and
- b) Is qualified to perform the duties and functions associated with a jockey's license, including that the applicant:
 1. Has demonstrated his or her experience and competence by providing satisfactory evidence that he or she was licensed as a jockey for the preceding three years in a racing jurisdiction in the United States and that, during those three years, he or she participated as a jockey in at least 100 races or, in the judgment of the Compact, the equivalent thereof, provided, that for the purposes of this subparagraph, a maximum of one year of the required three years of experience may be as an apprentice jockey; and
 2. Presents satisfactory evidence to the Compact from a licensed optometrist or ophthalmologist of an eye examination indicating that the applicant has for one eye, corrected, a minimum visual acuity of 20/20.

Licensing Standards Specific to Harness Drivers

The Compact shall not complete the processing of an application for a national racing license as a harness driver unless it finds that the applicant has met the general licensing standards set forth above and:

- a) Is at least eighteen (18) years of age; and
- b) Is qualified to perform the duties and functions associated with a harness driver's license, including that the applicant:
 1. Has demonstrated his or her experience and competence by providing satisfactory evidence that during the preceding three years, he or she participated as a harness driver in at least 100 races or, in the judgment of the Compact, the equivalent thereof,
 2. Is currently licensed by and is the holder of a full (A) driver's license issued by the United States Trotting Association; and
 3. Present satisfactory evidence to the Compact from a licensed optometrist or ophthalmologist of an eye examination indicating a minimum of 20/40 corrected vision in both eyes, or if one eye is blind, at least 20/30 corrected vision in the other eye.



National Racing License Application

The National Racing Compact

P O Box 184 - New Kent, VA 23124
1 (877) 457-2538 - Fax 1 (804) 966-7422
<http://www.racinglicense.com>
nrnsupport@racinglicense.com

OFFICE USE ONLY New or Renewal

Date ____/____/____ License # ____
F.P. ____ (status) Ref # ____
Payment Type ____ Total Fees ____
Clerk ____ Approved by: ____

Welcome to the National Licensing Program!

As a horseman who may wish to participate in several different racing jurisdictions, you are eligible to apply for a national license through the National Racing Compact. **This form is only for owners, trainers, jockeys and drivers**. If you will be applying for another type of license, please contact those jurisdictions for an application. Some racing commissions may require additional information from you.

Please refer to the last page of this form for a list of participating jurisdictions and fee schedule.

Check Breed(s) Thoroughbred ☐ Standardbred ☐ Quarter Horse ☐
License Type(s) Owner ☐ Trainer ☐ Jockey ☐ Driver ☐

1. Applicant Name _____
Last Middle Maiden

2. Have you ever used an assumed name or been known by another name or been licensed under an assumed or different name? If yes, give name(s) ☐ Yes ☐ No

3. Marital status? ☐ Married ☐ Single ☐ Divorced ☐ Widowed
If married, full name of spouse, including maiden name: _____

4. List all states licensed in- _____
Year(s) Type of License (S) Name of State(s)

5. List dates fingerprinted and what states printed you: _____
Month & Year(s) Printed In what State(s)

6. Telephone numbers: () _____ () _____ () _____
Home # Business # Fax #

7. Person to be notified in case of emergency: _____ Telephone: () _____

8. Social Security No.* <small>Social Insurance No. (Canadians)</small>	Sex	Height	Weight	Color Hair	Color Eyes	Date of Birth	Age
- -						/ /	

* Providing Social Security Number may be voluntary and will be used as a secondary identifier; however, some jurisdictions do mandate you provide it Mo Day Year

9. Are you a U.S. Citizen? ☐ Yes ☐ No If no, of what country are you a citizen? _____
Immigration I.D. number (if applicable) A- _____
Place of Birth _____
City/State

10. USTA Membership Number _____ (If applicable) USTA Membership Exp. Date: _____

11. Permanent mailing address: _____
(at which service of all papers may be made upon you) Street

City State/Province Postal Zip/Country

12. Local address: _____
Street

City State/Province Postal Zip/Country

13. Give the following information relative to your current employer. If self-employed, so indicate:

Employment Dates _____ *Name of Employer* _____ *Address (Street, City, State, Zip)* _____

14. List your occupation here: _____ If self-employed, list type of business: _____

The following two (2) questions must be answered "yes" or "no" Give details in space provided.

15.

a. Has your (or your spouse's) racing license ever been denied, suspended or revoked, or is a racing complaint pending against either of you in any racing jurisdiction?				<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Have you (or your spouse) ever been fined \$100 or more or discharged, expelled or ejected from any race track by any racing official, or commission?				<input type="checkbox"/> Yes <input type="checkbox"/> No
c. Have you (or your spouse) ever had any racing permit or license denied, suspended or revoked by any federal, state or local government agency?				<input type="checkbox"/> Yes <input type="checkbox"/> No
Date	State	Track	Specific Violation(s)	

16.

a. Have you (or your spouse) ever (a) pleaded guilty , pleaded nolo contendere, been found guilty or been convicted or (b) forfeited bail or been fined for any criminal offense, either felony or misdemeanor , including driving under the influence of alcohol and/or drugs?				<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Are there now any indictments or complaints pending against you (or your spouse) for any such offense?				<input type="checkbox"/> Yes <input type="checkbox"/> No
c. Are you (or your spouse) currently on parole or probation ? If yes, parole or probation ends: _____ (indicate month, day and year)				<input type="checkbox"/> Yes <input type="checkbox"/> No
Date of Arrest	State	Arresting Agency	Offense	Outcome/Sentence

17. Are there any outstanding court-imposed civil judgements against you? If so, attach an explanation. ☐ Yes ☐ No

18 a. Are you under an obligation to pay child support in any jurisdiction? _____ ☐ Yes ☐ No

b. If yes, are you four (4) months or more in arrears? _____ ☐ Yes ☐ No

19. Number of horses in training? _____ (if applicable)

20. Are you obligated to have workers' compensation insurance covering employees in connection with racing? _____ ☐ Yes ☐ No

*Company Name** _____ *Policy Number* _____ *Expiration Date* _____ *Name of Policyholder* _____

* (A copy of your workers' compensation certificate of insurance must be attached and submitted with this application)

21. Statement of Ownership

a.. List only horses that you plan to race this year owned wholly or in part by you or leased to you. If leased, or in partnership, stable or corporate name, so designate:

Horse Name	YOB	Trainer's Full Name	Ownership Name on Registration Papers	% Owned	Breed T,S,Q

If additional space is required for any of the above questions, please use a separate sheet of paper and submit it with this form.

22. If you listed a **Stable Name, a Corporation Name** or **any other legal entity** as owner of a horse under "**Statement of Ownership**" (See Question 21), please tell us about the individual person(s) under that name holding any interest in those horses. If you are responsible for registering the stable name or partnership, you must list all participants and pay the appropriate fees. Tax ID# _____ (If applicable)

Individual's Name	Address (street, city, state & zip)	Name of Horse	% Owned

23. **Colors Registration - Jacket Color & Description:** _____

Sleeves: _____ Collar: _____ Cap: _____

24. **Trainers, Jockeys and Drivers:**

List number of Starts: _____ Rides: _____ Drives: _____ that you have had within the last three years.

In making this application for a National Racing License, 1, the undersigned, understand that an investigation may be conducted on my background and an investigative report may be prepared containing information obtained through personal interviews with third parties, such as family members, business associates, financial sources, friends, neighbors, or others with whom I am acquainted. This inquiry may include information as to my character and general reputation that may be relevant to the issuance of a National Racing License.

By submitting this application, I hereby (1) agree to abide by the applicable rules and regulations of the National Racing Compact, pari-mutuel regulatory agencies, the laws of the United States of America, Canada, state/provincial governments, municipalities and other subdivisions thereof, and (2) agree to abide by any provisions regarding search and seizure that may be contained in any of the above-mentioned laws, rules and regulations, and I consent and waive any right I have to object to the search, within the grounds of a racetrack or racing association, of any premises or vehicle which I may occupy or control or have the right to occupy or control and of my person, property and effects and the seizure of any article the possession or control of which may be forbidden.

I understand that participation in racing is a privilege, not a right, that any license issued pursuant to this application is subject to conditions precedent as set out in the Rules of Racing, and that my failure to comply therewith shall be grounds for immediate suspension or revocation of such license. By acceptance of said license, I agree to abide by the Rules of Racing and rulings or decisions of the Stewards in all jurisdictions, with the knowledge that rulings or decisions of the Stewards shall remain in force until reversed or modified by the authorized regulatory agency and may have an adverse effect on my National Racing License.

I also agree to abide by and obey the rules and regulations and conditions of the authorized regulatory agency in the jurisdictions in which I participate. I expressly agree to be subject to the subpoena powers of the authorized regulatory agency or a written request issued in lieu of a subpoena and to provide the agency with any and all information and documents which it may so request. This agreement shall extend to anything that relates to any matter that is the subject of any agency hearing or investigation.

I understand that by providing the information requested on the fingerprint card I have included with this application and designating the National Racing Compact (the "Compact") as an entity to which the Federal Bureau of Investigation ("FBI") is authorized to send the results of its criminal records check, I also am authorizing the racing commission, or its equivalent, in each state that is a member of the Compact to receive the results of that check. Furthermore, if I failed to indicate on the fingerprint card that the FBI is authorized to send the results of its criminal records check to each such state racing commission, or its equivalent, I hereby authorize the Compact, as my designee, to do so for me.

I hereby certify that, under the penalty of perjury, I have read the foregoing application and affirm that every statement contained therein is true and correctly set forth. I hereby agree that my license may be confiscated and suspended or revoked at any time for misstatements or omissions in the foregoing application.

If additional space is required for any of the above questions, please use a separate sheet of paper and submit it with this form.

National Racing Compact Fee Schedule

	Owner	TB Trainer	Harness Trainer	Jockey	Driver	Stable	Partnership	Total
Arkansas	\$20	\$15	N/A	\$15	N/A	\$20	\$20	\$
Colorado (3-Yr)	\$20	\$20	N/A	\$20	N/A			\$
Delaware (Harness)	\$50	N/A	\$50	N/A	\$50			\$
Delaware (TB)	\$50	\$50	N/A	\$30	N/A	\$25		\$
Florida	\$40	\$40	\$40	\$40	\$40			\$
Florida (3-Yr)	\$120	\$120	\$120	\$120	\$120			\$
Iowa (2-Yr)	\$10	\$10	\$10	\$10	\$10	\$10		\$
Kentucky	\$35	\$35	\$35	\$35	\$35			\$
Kentucky (Owner/Trainer/Driver)			\$70					\$
Louisiana	\$25	\$25	N/A	\$35	N/A	\$50	\$25	\$
Louisiana (Colts)	\$25							\$
Louisiana (3-Yr)	\$75	\$75	N/A	\$105	N/A			\$
Maryland (TB New)	\$150*	\$150*	N/A	\$25	N/A	\$75	\$75	\$
Maryland (TB Renew)	\$125*	\$125*	N/A	\$25	N/A	\$75	\$75	\$
Maryland (Harness - New)	\$50	N/A	\$50	N/A	\$25	\$75	\$75	\$
Maryland (Harness - Renew)	\$25	N/A	\$25	N/A	\$25	\$75	\$75	\$
Nebraska	Free	Free	N/A	Free	N/A	\$50	\$15	\$
New Jersey	\$50	N/A	N/A	N/A	N/A	\$50	\$50	\$
New Jersey (3-Yr)	\$150	N/A	N/A	N/A	N/A			\$
New York (New)	\$150*	\$80*	\$70*	\$100*	\$70*			\$
New York (Renew)	\$50	\$30	\$20	\$50	\$20			\$
New York (Renew - 2-Yr)	\$100	\$60	\$40	\$100	\$40			\$
New York (Renew - 3-Yr)	\$150	\$90	\$60	\$150	\$60			\$
Ontario	Free	Free	Free	Free	Free			\$
Pennsylvania (TB 3-yr)	\$90*	N/A	N/A	N/A	N/A	\$50	\$30	\$
Pennsylvania (Harness-Owner 3-Yr)	\$60							\$
Texas	\$50	\$50	N/A	\$50	N/A	\$15		\$
Texas (2-Yr)	\$100	\$100	N/A	\$100	N/A	\$30		\$
Texas (3-Yr)	\$150	\$150	N/A	\$150	N/A	\$45		\$
Virginia	\$10	\$10	\$10	\$10	\$10	\$25	\$25	\$
Washington	\$165*	\$1250*	N/A	\$15	N/A	\$25		\$
West Virginia	\$15	\$15	N/A	\$15	N/A			\$

BOLD denotes Compact Members

Total License Fees \$ _____
National Compact Fee \$ 150.00
Total Amount Due \$ _____

• Includes other state required fees

Please select those jurisdictions in which you wish to be licensed. Total the fees and submit a check in that amount made payable to the National Racing Compact. If you would prefer to pay by credit card, please fill in the following information.

Paid by Credit Card Master ____ Visa ____ Card Number _____ Exp Date _____
 Paid by Check ____ Amount _____ Check Number _____ Name on Card _____

Please be sure to sign this application and include the fingerprint card, photo, and payment before mailing!

_____ Signature of Applicant	____/____/____ Date	_____ E-mail Address (optional)
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National License Compact - 2343 Alexandria Dr. Suite 210, Lexington, KY - 40504 - (859) 224-2538 - fax: (859) 224-7071

E-Mail Jmckinnie@racinglicense.com